

# Horizon Europe Co-design – Implementation

Fields marked with \* are mandatory.

With a proposed budget of 100 billion euro from 2021 to 2027, the Horizon Europe framework programme represents the largest collaborative multinational research and innovation investment in Europe and is open to participants worldwide. The European Parliament and the Council have provisionally agreed on the Horizon Europe legislative package. Based on the agreement, a Strategic Plan will put forward the priorities for the first four years of Horizon Europe. In parallel, the Commission has begun designing the **Implementation strategy**, a tool to focus attention, planning efforts and resources to the rules, processes, systems, documents and guidance, so that they are ready in time for the programme start.

We invite you to contribute to co-designing the implementation of the future research and innovation programme by responding to this questionnaire. You can further engage by participating in the [European Research and Innovation Days](#) on 24-25-26 September 2019 in Brussels.

**Prior to responding to the questions, please read the attached document: “[Orientations towards the Implementation Strategy of the research and Innovation framework programme Horizon Europe](#)”.**

This consultation is linked to the ongoing “Horizon Europe Co-design 2021-2024” web consultation.

The questionnaire can only be submitted if you replied at least to the obligatory questions marked with an asterisk. Replying to all questions takes approximately 30 minutes. You can interrupt and resume at a later stage. **Please don't forget to save frequently.**

The extended deadline for replying is **October 4**.

## About you

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\* You are responding:

- As an individual
- As a representative of a single organisation
- As a representative of an 'umbrella' organisation (a group of organisations)

\* You are responding as:

- a researcher
- an entrepreneur
- an expert in research and innovation
- a citizen
- other

\* You are representing:

- a university
- a research organisation
- a business or an industry
- an international organisation
- a national public authority
- a regional or local public authority
- a non governmental organisation including civil society organisations
- other

\* Name of the organisation

*150 character(s) maximum*

WindEurope

Your name and last name

*150 character(s) maximum*

Alexander Vandenberghe

\* What is your country of residence or establishment?

Belgium

\* Please select the option that best describes your interest in European Union Research and Innovation (R&I) framework programmes:

- I/my organisation is currently participating in at least one project funded by a European Union R&I framework programme.
- I/my organisation has proposed and/or participated in project(s) funded by a European Union R&I framework programme in the past, but I am/it is not participating in a running project at this moment.
- I/my organisation has not yet proposed or participated in projects funded by European Union R&I framework programmes, but would be interested to do so.
- I/my organisation currently does not intend to propose and/or participate in projects funded by European Union R&I framework programmes, but I may be interested in the results of the projects/programme.

\* Publication privacy settings

The Commission will publish the responses to this public consultation. You can choose whether you would like your details to be made public or to remain anonymous.

- Anonymous**  
Only your type of respondent, country of origin and contribution will be published. All other personal details (name, organisation name and size, transparency register number) will not be published.
- Public**  
Your personal details (name, organisation name and size, transparency register number, country of origin) will be published with your contribution.

I agree to the [personal data protection provisions](#)

# 1. Work programme

The detailed allocation of Horizon Europe funding to calls will be decided through a strategic planning process resulting in multiannual work programmes. The way in which the priorities are translated into calls and topics may impact on the effectiveness of programme implementation.

See section [3.1 "Work Programme design"](#) (page 7) of the accompanying document.

1.1. In your view, how important are these elements for the preparation of a good proposal?

	1 (not important at all)	2	3	4	5 (very important)	I don't know
* Work programmes which are multiannual	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
* No changes to work programmes unless there are urgent, previously unforeseen needs	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
* Multiannual topics which offer the possibility of submission for more than one deadline	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>

1.2. In your view, how important are these elements for the preparation of a good proposal for the 'Global Challenges and European Industrial Competitiveness' part of the future Horizon Europe work programmes?

	1 (not important at all)	2	3	4	5 (very important)	I don't know
Topics which distinguish between the short-term outcomes expected and the longer-term impacts targeted	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
The applicant can decide which type of action is most appropriate for achieving the targeted impact (e.g. Research and Innovation Action [RIA], Innovation Action [IA] or Coordination and Support Action [CSA])	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
Indication of the TRL (technology readiness level) expected by the end of the project	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
Topics which allow for a wide range of possible pathways to achieve the targeted impact	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

1.3. What could be improved in the structure of the work programme and/or its calls to make it easier for applicants?

*500 character(s) maximum*

Work programmes could benefit from shifting to a more open-call system, with no or multi-annual deadlines. This approach would create a real bottom-up process and improve attractiveness of EU-funding schemes. To be successful however, open-call systems require the funding authority to provide visible and dedicated multi-annual budgets and a clear and well-defined challenge, scope and expected impacts. Multi-annual budgets for calls will also allow all stakeholders to track progress and spending.

## 2. Submission and Evaluation

The system for proposal submission and evaluation under Horizon Europe will largely be based on the current approaches, but a number of improvements are envisaged.

See section [3.2 “Submission and Evaluation”](#) (page 9) of the accompanying document.

2.1. What aspects are most important to you in the submission and evaluation process? *(rank your answers by order of preference)*

	1 (least important)	2	3	4	5 (most important)
* Simple proposal templates	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
* Fast time-to-grant	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
* Detailed feedback if unsuccessful	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
* Two-stage procedure to reduce initial burden	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other <i>(please specify below)</i>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

2.2. How important are the following specific changes in your view?

	1 (not important at all)	2	3	4	5 (very important)	I don't know
Simplify the aspects to be considered under the three evaluation criteria (“Excellence”, “Impact” and “Quality and efficiency of the implementation”)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
Simplify or remove assessment of project management structures	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Run a pilot for blind evaluation, for the first stage of two-stages calls	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
Run a pilot scheme allowing applicants to react to preliminary evaluation comments, before they are finalised	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>

2.3. What other modifications to the submission and evaluation system do you consider necessary, and why? Would they entail trade-offs with other desirable changes?

500 character(s) maximum

Consortium requirements should be less prescriptive. Larger consortia do not necessarily lead to better projects. Especially for Innovation Actions smaller consortia of up to 5 partners are more effective. For Research Actions larger consortia can be more beneficial due to both the pre-competitive nature of the action and the need for research infrastructures and expertise that are distributed across Europe. Demonstrable EU added value should be at the core of the proposal evaluation.

2.4. Where relevant (e.g. for missions), how should the evaluation process combine an assessment of the intrinsic quality of individual proposals with their potential contribution to a consistent portfolio?

500 character(s) maximum

Involving the stakeholders at the earliest opportunity will be vital to the success of the missions. An open-call system would increase bottom-up applications from R&I communities themselves. Stagegating of calls and using expertise from the European Technology Platforms, would allow a wide range of early stage projects to develop with minimal financial risk and ensure only the very best will receive further funding. Targeted calls can help to secure strategic stability in the mission.

### 3. Model Grant Agreement

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Horizon Europe will make use of a standard model grant agreement (MGA) to be used by all EU funding programmes fully aligned with the [Financial Regulation](#). The MGA for Horizon Europe will cater for the programme specific needs/objectives as e.g. on Intellectual Property Rights, ethics, gender, recruitment and working conditions for researchers, research infrastructure, security, etc.

See section [3.3 “Model Grant Agreement”](#) (page 12) of the accompanying document.

\* 3.1. Would the use of the same standard Model Grant Agreement for all EU directly managed funding programmes facilitate synergies between them?

- 1 (not at all)
- 2
- 3
- 4
- 5 (certainly)
- I don't know

3.2. Which provisions in the current Horizon 2020 Model Grant Agreement should be revised? *(rank your top 5 provisions by order of preference)*

	1 (least important)	2	3	4	5 (most important)
Personnel costs provisions	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
Internally invoiced goods and services provisions	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>

Equipment costs provisions	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Reporting provisions	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
Termination and suspension provisions	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Amendment provisions	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Payment calculation provisions	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other provisions <i>(please specify below)</i>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

3.3. Should the MGA contain a data sheet with key information on costs, forms of funding, reporting and payment schedules?

- 1 (not useful at all)
- 2
- 3
- 4
- 5 (very useful)
- I don't know

3.4. How can we improve the clarity of the [Annotated Model Grant Agreement](#) for Horizon Europe?

*500 character(s) maximum*

## 4. Dissemination and Exploitation

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Horizon Europe will give more emphasis to the dissemination and exploitation (D&E) of the Reasearch & Innovation (R&I) results, sharing the knowledge better and creating a higher impact.

See section [3.4 “Dissemination & Exploitation”](#) (page 15) of the accompanying document.

4.1. What would be useful in your view to improve the dissemination and exploitation of projects results?

	1 (not relevant at all)	2	3	4	5 (very relevant)	I don't know
* Improved visibility and searchability of the results on the Funding & Tenders Portal at the project and individual level	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
* Easy-to-use search functionalities on the Funding & Tenders Portal for expertise of beneficiaries and /or follow-up activities	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>

* Possibility for beneficiaries to complete their own public/private/project profiles in a dedicated platform with information they want to disseminate, including key needs for support	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
* Possibility for beneficiaries to complete their own public/private/project profiles in a dedicated platform with information on past affiliation to projects	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
* Improved guidance on D&E expectations at call and proposal stages	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>

4.2. Dissemination & Exploitation (D&E) is part of the evaluation criteria and constitutes a separate Work Package in the project's life cycle. How can beneficiaries' understanding around D&E be improved?

- Maintain D&E as subject to proposal evaluation
- Enhance training and raise awareness around D&E to applicants (using the Funding & Tenders Portal, existing networks such as EEN, NCPs, etc.)
- Create a follow up support mechanism on D&E for beneficiaries
- Prefill parts of the proposal with previous Framework Programme funded results of the applicant (where applicable)

4.3. How could the European Commission incentivise beneficiaries to report on dissemination and exploitation after the end of the project?

	1 (not relevant at all)	2	3	4	5 (very relevant)	I don't know
Keep the Horizon 2020 approach and provide tailor-made services through activities such as the <a href="#">Dissemination</a> & <a href="#">Exploitation</a> boosters	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
Introduce and apply financial penalties for non-compliance	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Combine the obligation with financial incentives for further exploitation	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
Making platforms available for communicating results to potential users	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

4.4. Exploiting the full potential of R&I results for sustainable policy making is becoming more and more important. How could we strengthen the feedback to policy and decision making, based on R&I results, at EU, local, regional, national, international levels?

*500 character(s) maximum*

Clear and reliable data is the pre-requisite to effective policy-making. As such data from research actions needs to be easily accessible by policymakers and stakeholders. An online repository would help, but existing policy events such as the annual SET-Plan conferences should also offer a platform to highlight the successes of European research and disseminate the results directly to policymakers. In turn policymakers too should make data on R&I funding programmes more easily accessible.

## 5. Data and Reporting

Project reporting in Horizon Europe should cover all the information and data necessary for project and programme monitoring, while keeping the reporting burden for beneficiaries at an acceptable level. Greater attention will be dedicated to information and data on the output and impact of the funded projects and on the dissemination and exploitation of the results. Data collected from projects will be enriched by linking to other data sources. Tools for accessing, analysing and visualising the data will be made available for Member States, policy makers, researchers and the general public.

See section [3.5 “Reporting and Data Collection”](#) (page 19) of the accompanying document.

5.1. Which parts of the Horizon 2020 reporting templates and guidance require improvements? (*rank your top 3 answers by order of preference*)

	1 (least important)	2	3 (most important)
* Financial reporting part	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
* Template for the technical report	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
* Data collection on publications, IPR, dissemination and communication activities, societal issues	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>

5.2. Please give us one concrete suggestions for improving the project reporting:

*500 character(s) maximum*

Harmonisation of reporting requirements per action type across all EU programmes would significantly ease reporting accuracy and reduce the administrative burden for potential beneficiaries. In addition, standard costs calculation and acceptance of business accounting practices should also be considered.

\* 5.3. Have you already used the Horizon 2020 Dashboard?

- Yes  
 No

5.3a. Which parts of the Horizon Dashboard do you find most useful? (*rank your answers by order of preference*)

	1 (least useful)	2	3	4	5	6 (most useful)
Horizon 2020 proposals dashboard	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
Horizon 2020/FP7 projects dashboard	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
Horizon 2020/FP7 project results dashboard	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Horizon 2020 country profiles	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>

European Innovation Council dashboard	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Seal of excellence dashboard	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

5.4. On which other aspects would you like to have a specific dashboard?

*500 character(s) maximum*

5.5. The European Commission proposes to create a central EU R&I data hub on data from the EU R&I investments made at EU, national, regional and local levels. Do you consider this could support the definition of R&I policies in Europe at local, regional, national and European levels?

- 1 (not at all)
- 2
- 3
- 4
- 5 (to a great extent)
- I don't know

5.6. What additional orientations would you suggest for maximising the value and use of data from EU R&I programmes?

*500 character(s) maximum*

EU spending is diverse and fragmented and real numbers are only available ex-post. Clearer multi-annual thematic budgets coupled with continuous analysis on the ex-ante & ex-post using an open-access data-hub would be a great help to EU, national and regional policymakers and increase engagement from other stakeholders. Uncertainty and lack of transparency on the progress made in and added value of EU programmes are often cited criticisms with EU institutions seen as “black boxes”.

## 6. Control strategy

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### Ex-ante

Under Horizon 2020, the Commission made significant efforts to simplify and harmonize ex ante control practices. Consequently, there is strong emphasize on trust (of beneficiaries and their own control practices) that is combined with a risk-assessment based, lean and targeted control. Ex-ante control under Horizon Europe will build on the foundations and achievements of the common ex-ante control strategy designed for the implementation of Horizon 2020.

See section [3.6.a “Ex-ante control strategy”](#) (page 22) of the accompanying document.

6.1. Are you largely satisfied with the current Horizon 2020 approach for financial reporting i.e. the level of details required in the financial statements (FS) and the prompted details on the so called ‘use of resources’ section?

- Yes
- No

6.2. Under Horizon 2020, the Certificate of Financial Statement (CFS) is an important element of the trust-based ex-ante control approach. Given that it is intended to continue this approach under Horizon Europe, would you have ideas for improvements of the CFS system or suggestion for changes (e.g. in the content, level of details of the CFS)?

*500 character(s) maximum*

**Ex-post**

The Commission proposal for Horizon Europe provides for a high level of continuity, based on the principles of common implementation, equal treatment, consistency and better alignment with international audit standards. Under Horizon Europe, a new approach on Systems and Processes Audits (SPAs) will be explored through a review of the main internal control systems and processes of beneficiaries. The objective is to flag risks and to perform audit procedures and walkthrough test in line with the International Standards on Auditing.

See section [3.6.b “Ex-post control strategy”](#) (page 23) of the accompanying document.

6.3. What type of benefits would you expect from a Systems and Processes Audit (SPA)? *(rank by order of preference)*

	1 (least important)	2	3	4	5 (most important)
Fewer ex-post audits	<input type="radio"/>				
Less intensive ex-post audits	<input type="radio"/>				
Fewer ex-ante Certificates on Financial Statements	<input type="radio"/>				
Less intensive ex-ante Certificates on Financial Statements	<input type="radio"/>				
Reliability for other EU audits	<input type="radio"/>				

6.4. The Systems and Process Audits (SPA) should be valid...

- until a change in the methodologies of the auditee
- for maximum 3 years after completion for the HE framework programme
- for the whole Horizon Europe framework programme

6.5. What are the limitations to a SPA?

- Lack of homogeneity of systems and processes inside the same organisation (e.g. when different locations have different internal processes)
- Nature of processes: some (e.g. subcontracting) are more system approach than others
- Other *(please specify below)*

6.6. Would it be useful to seek synergies with national research and innovation funding bodies in the audit field? How could this be implemented in practice in your view and based on your experience if applicable?

500 character(s) maximum

## 7. Extended use of simplified forms of costs

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Significant steps were taken under Horizon 2020 towards the use of simplified forms of costs, through the wider use of unit costs and flat rates and, notably through the first pilot on lump sum project funding in 2018.

See section [3.7 “Extended use of simplified forms of costs”](#) (page 26) of the accompanying document.

\* 7.1. Have you been involved as applicant and/or beneficiary in a lump sum pilot project?

- Yes
- No

7.2. Do you think lump sum project funding will make R&I Framework Programme more accessible to...

	1 (not at all)	2	3	4	5 (to a great extent)	I don't know
* New participants	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
* Experienced participants	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
* Smaller actors	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>

7.3. To what extent will the abolition of cost reporting and auditing in projects funded by lump sums make project management and administration easier?

- 1 (not at all)
- 2
- 3
- 4
- 5 (to a great extent)
- I don't know

7.4. What is your perception of the system of payments for lump sum project funding?

500 character(s) maximum

7.5. What is the impact of lump sum project funding on the internal management of the consortium (compared to cost-based funding)?

- 1 (will become way more complex)
- 2
- 3
- 4
- 5 (will become easier)
- I don't know

## 8. Outreach

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Feedbacks received from stakeholders consultations, the Horizon 2020 interim evaluation, as well as recommendations by the European Court of Auditors underline that more could be done to better communicate and engage with stakeholders.

See section [3.8 "Outreach"](#) (page 29) the accompanying document

\* 8.1. With which support services have you interacted, if any, during the course of Horizon 2020?

- Research Enquiry Service
- National Contact Point
- EU programme support office, or similar, in your organisation
- Professional consultant
- Outreach events in Member States
- Coordinator days
- Training to beneficiaries
- Other (*please specify below*)
- None

Other - Please specify:

*500 character(s) maximum*

European Commission, DG Research & Innovation, Directorate G Unit G3

8.2. For each service you indicated, what would you propose to improve?

*1000 character(s) maximum*

Stakeholder engagement between the policy making bodies at the European Commission services and the Coordination & Support Actions (CSAs) and European Technology Platforms (ETPs) can be significantly improved to ensure more fit-for-purpose research policy. ETPs and CSAs have been established to explicitly support research policy dialogue and to assist and complement European policymakers in implementing the framework programmes. To this end the ETPs bring together a wide range of stakeholders. Their expert opinion should be openly consulted during the drafting of HEU work programmes. Explicit feedback from the Commission and Programme Committee on ETP input is essential for a meaningful policy dialogue, will create more impactful research policy and will ensure policy is not detached from developments in the field.

## 9. Digital transformation

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A radical simplification of grant management procedures was introduced in Horizon 2020 with, at the heart, a Web interface – the [Funding & Tenders Portal](#) – providing a user-friendly one-stop shop for thousands of beneficiaries. Horizon Europe will come with a number of novelties that will need a dedicated IT support.

See section [3.9 “Digital transformation”](#) (page 31) of the accompanying document.

\* 9.1. What do you think about having all the EU funding programmes available in a single Portal?

- It is simpler to find funding opportunities across all EU funding programmes, in just one place
- It provides all potential beneficiaries with a single door to submit their proposals
- It allows for a corporate electronic management of all EU funding programmes
- It is more complex, if I am interested only in one programme
- Other *(please specify below)*
- I don't know

\* 9.2. Which additional features of the Funding & Tenders Portal would you find useful?

- Partner search at the level of individuals (in addition to the existing organisation based partner search) for finding potential partners for your project ideas
- Person profile page for individuals involved in projects, linking to other data sources (publication databases, social platforms for researchers...)
- Extended interactive programme reporting platform (Horizon 2020 Dashboard)
- Other *(please specify below)*
- I don't know

9.3. How can we improve the functions of the Funding & Tenders Portal?

- Optimise the search functions
- Make the dashboards more user-friendly
- Revise the system of notifications
- Improve compatibility with different browsers
- Increase system response speed
- Other *(please specify below)*

## 10. European Partnerships

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Horizon Europe will support European Partnerships to deliver on European and global challenges, through concerted research and innovation efforts with the Member States, the private sector, foundations and other stakeholders. European Partnerships will be established only in cases where they will more effectively achieve the objectives of Horizon Europe than the Union alone and when compared to other forms of funding under Horizon Europe. Horizon Europe will support a more rationalised, strategic and impact-oriented approach to partnerships. It will also put forward a number of simplifications to ensure a better participant experience, notably central management of financial contributions, common set of rules, use of Commission IT tools and better access to information and results from partnerships.

See section [3.10 “European Partnerships”](#) (page 34) of the accompanying document.

\* 10.1. Have you received funding from a Joint Undertaking or Article 185 initiative under Horizon 2020?

- Yes

No

\* 10.2. Have you been a partner in a Joint Undertaking or Article 185 initiative under Horizon 2020?

Yes

No

10.3. How to most effectively improve the experience in participating in the calls and activities in the context of European Partnerships?

	1 (strongly disagree)	2	3	4	5 (strongly agree)	I don't know
By ensuring that the calls would be accessible on the Funding & Tenders Portal	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
By ensuring better communication of opportunities for funding in the context of partnerships	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
By ensuring a single set of rules for participation and for funding (i.e. application of Horizon Europe rules for participation without or with very limited derogations)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
By harmonising and centralising submission, evaluation, and reporting procedures	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
By ensuring better dissemination and exploitation of results	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
Other <i>(please specify below)</i>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>

Other - Please specify:

*500 character(s) maximum*

10.4. How could we make European Partnerships more attractive for prospective partners?

*500 character(s) maximum*

Just like in missions, open call systems with no or multiannual deadlines would improve participation in Partnerships. Stagegating calls for early research proposals will also ensure radical concepts can be developed with minimal financial risks. However, clear ex-ante budgets will be needed to ensure a truly effective bottom-up approach. European Technology Platforms should be an integral part of the partnerships as they can mobilise the R&I community and have the expertise to co-design calls.

## 11. Synergies

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Horizon Europe is designed to be implemented in synergy with other Union funding programmes, from their design and strategic planning, to project selection, management, communication, dissemination and

exploitation of results, to monitoring, auditing and governance.

See section [3.11 “Synergies between EU funding programmes”](#) (page 35) of the accompanying document.

\* 11.1. Are you familiar with other EU funded programmes?

- Yes
- No

11.1a. Please specify the programme(s) you are familiar with (CEF, COSME, Erasmus +, European Regional Development Fund, Interreg, LIFE...):

*500 character(s) maximum*

Life, Connecting Europe Facility, ERASMUS+, European Regional Development Fund, Cohesion Fund, European Fund for Strategic Investments, European Maritime and Fisheries Fund

11.1b. Would you consider that synergies between different EU funded programmes could be useful to promote the deployment and uptake of research results?

- 1 (not useful at all)
- 2
- 3
- 4
- 5 (extremely useful)
- I don't know

11.2. What areas and/or types of projects require adaptations in order to improve synergies amongst EU funded programmes? What would be the key enabling features for making these synergies happen (joint calls between different EU programmes, sharing implementation data, etc.)?

*500 character(s) maximum*

Synergies coupled with harmonization of administrative requirements will increase the attractiveness of EU funding. Rather than joint calls EU programmes should be complementary, i.e. projects should be able to apply to different programmes during different stages of development. Beneficiaries should be allowed to highlight in the final reporting from which other programmes they would require support, if any. If evaluated positively these projects should be given priority funding allocation.

\* 11.3. Have you received a Seal of Excellence under Horizon 2020?

- Yes
- No

11.4. Do you think that simplified State aids rules will enable stronger uptake of Seal of Excellence projects by national and/or regional public authorities?

- 1 (not at all)
- 2
- 3
- 4
- 5 (to a great extent)

I don't know

11.5. To which extent do you agree with the following statements?

	1 (strongly disagree)	2	3	4	5 (strongly agree)	I don't know
To preserve the chances of obtaining alternative funding, Seal of Excellence should be issued only for a limited type of calls under Horizon Europe	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
Information on proposals receiving the Seal of Excellence should be shared with interested financing authorities (with prior consent of the applicants)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>

## 12. General input

Please provide here further general input regarding the implementation strategy for Horizon Europe:

*5000 character(s) maximum*

The wind energy sector holds a unique place in the European industrial fabric. It is at the same time a high-tech green and heavy manufacturing industry. Innovation and technology development play a big part in the success of wind energy in Europe and EU funding for research & innovation acted as a catalyst.

Powering Europe's economy with renewable electricity requires immediate and large scale deployment of renewable energy technologies. To deliver on the ambitious climate and energy targets Europe needs strong and fit for purpose industrial and innovation programmes to develop and improve technology solutions and applications and to support existing European supply chains. Allocating substantial budgets and targeted calls for proposals for renewable energy technology and wider system integration of renewables must be considered a key element of the Horizon Europe Programme.

A fit for purpose Horizon Europe programme would see following features.

Less prescriptive project consortium requirements. Larger consortia do not necessarily lead to better projects. Especially for Innovation Actions smaller consortia (up to 5 partners) are seen as more effective. For Research & Innovation Actions larger consortia can be more beneficial due to both the pre-competitive nature of the action and the need for research infrastructures and expertise that are distributed across Europe.

Open calls with multi-annual deadlines. They will help create a bottom-up engagement, increasing participation and interest from private stakeholders, especially industry. To be successful open calls need to be matched with clear ex-ante multi-annual budgets; that need continuous monitoring. Budgets for multi-annual open calls should not be subject to change.

More stagegating. Stagegating of calls will allow a wide range of early stage projects to develop with minimal financial risk and ensure the very best will proceed and receive further funding. Especially for missions stagegating would add value.

Clear description of possible pathways and expected impacts, including TRL indications. This will help reduce over-subscription and maintain the overall excellence of the programme. Overly generic call descriptions risk to favour either mature pathways (where a small change can have a relatively big impact) or completely immature pathways (as they are radically innovative). Europe already excels in early stage research and high TRL innovation. It struggles in bringing innovative concepts and technology to industrial maturity. As such, growing industries based on technology with transformative potential, such as the wind energy sector, need targeted support to deliver real impact and change.

Stakeholder involvement in Work Programmes. The success of the Horizon Europe programme is dependent on the design and evaluation of its work programmes. To ensure the work programmes deliver the biggest possible impact and in tune with sectoral needs the respected and well-established European Technology Platforms (ETPs) should be involved in at least the evaluation process alongside the European Commission, the EIB and Member States.

Involvement of ETPs in the governance of the missions and partnerships. ETPs bring together the research & innovation community of one or more sectors, and as such are the ideal vehicle to gather and channel public and private support from the relevant communities. ETPs can play an instrumental role in the evaluation of early research proposals and projects in staged calls.

More continuous monitoring. More and clearer monitoring on R&I spending will be essential to gauge the effectiveness of Horizon Europe. Especially on climate and energy targets, the H2020 interim evaluation found R&I spending remained below expectations.

More synergies with other EU programmes should be explored in two ways additional to those explained in the orientations.

Firstly, by creating as much as possible a single EU funding application, evaluation and reporting process. Reporting requirements for similar actions/ types of funding should be harmonised across different programmes to reduce administrative burdens for applicants.

Secondly, by allowing projects that were successful in one programme to receive a priority access to funding from another programme. Such a request should come directly from the projects. In the final reporting they should indicate whether they would require EU funding from another source to further mature the researched concept/technology.

In particular, such synergies need to be established between Horizon Europe, the ETS Innovation Fund, and InvestEU. The establishment of IPCEIs should remain a complementary instrument to European research & innovation policy.

Taking on these recommendations will allow Horizon Europe to build on the success of Horizon 2020 and further increase the innovative potential and industrial competitiveness of the European Union.

Thank you very much for your input.

An analysis of the results of this co-design consultation will be available after September 2019. The replies will also feed into the organisation of the debate in the co-design sessions at the [European Research and Innovation Days](#) on 24-25-26 September 2019 in Brussels.

## Contact

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